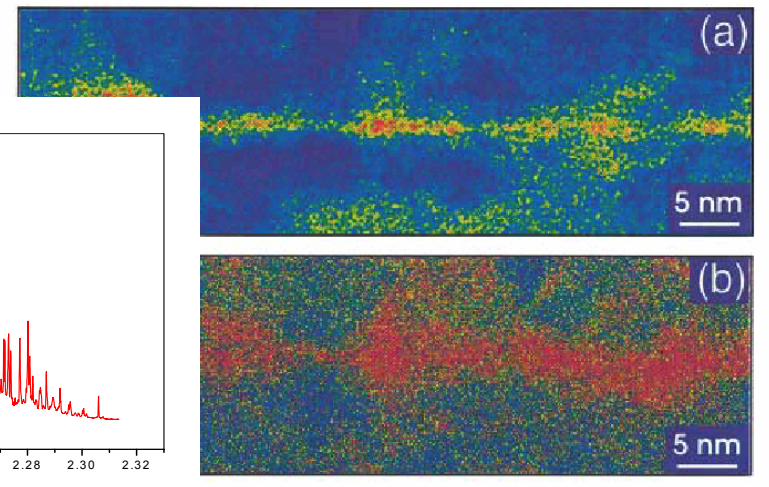
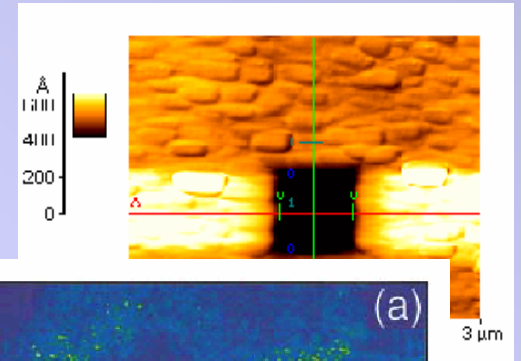
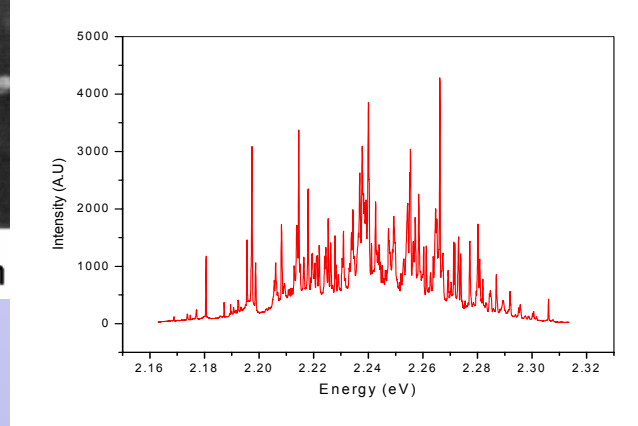
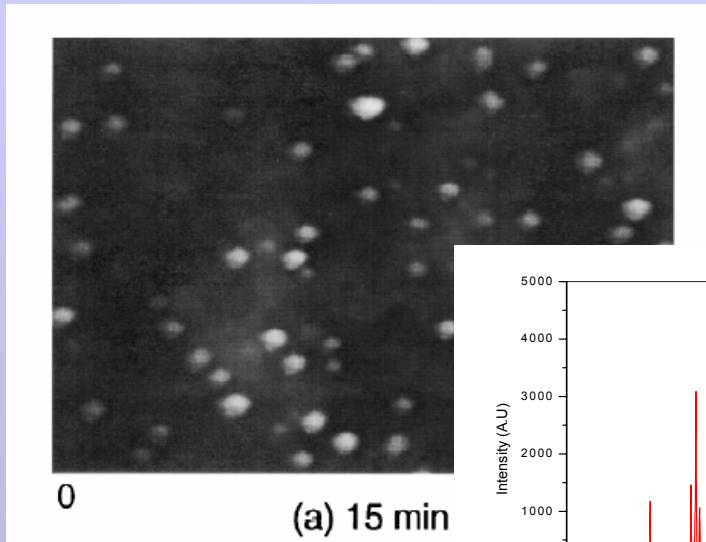


Quantum Dots: A Physicist's (& Chemist's) Playground



**Jan Yarrison-Rice, Physics Dept.
Miami University**

Thanks to Colleagues at University of Cincinnati:

Graduate Students

Bob Jones

Aditi Sharma

Laurie Robinson

Faculty

Leigh Smith

Howard Jackson

Thanks to Colleagues at Notre Dame:

Jacek Furdyna

Margaret Dobrowalska

Thanks to NSF and DOE for their support

Mighty Small Dots

*... nanoscience and nanotechnology
will change the nature of almost every
human-made object in the next century.*

*—The Interagency Working Group
on Nanotechnology, January 1999*



Howard Lee and his colleagues have synthesized silicon and germanium quantum dots ranging in size from 1 to 6 nanometers. The larger dots emit in the red end of the spectrum; the smallest dots emit blue or ultraviolet.

Quantum Dots - A Playground?

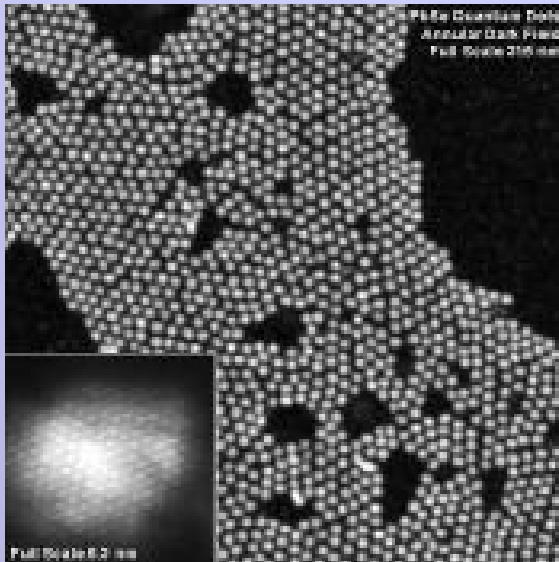
- **Fundamental Science on a Nanoscale**
 - Self-assembled quantum dots (SAQDs)
 - Chemically prepared (Spherical QDs)
- **Applied Science**
 - QD LEDs and Lasers
 - QD sensors

"Nanotechnology has given us the tools...to play with the ultimate toy box of nature -- atoms and molecules. Everything is made from it...The possibilities to create new things appear limitless..."

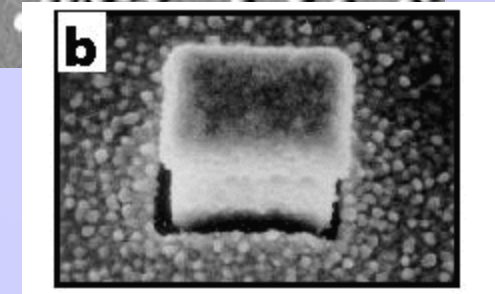
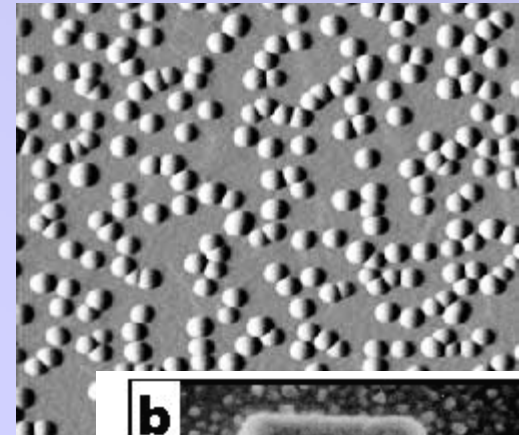
Horst Stormer, Nobel Laureate
Columbia University
Lucent Technologies

QDs come in all shapes and sizes!

nppp.ipl.nasa.gov/topics/Top.quant.dot.htm



www.scifi.com/sfw/issue203/drexler3.jpg



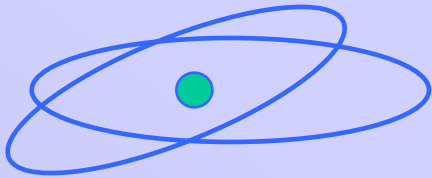
qt.tn.tudelft.nl/news/NN6fig1b.gif

www.aep.cornell.edu/gif/QdotsPbSe.jpg

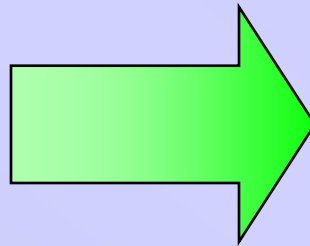
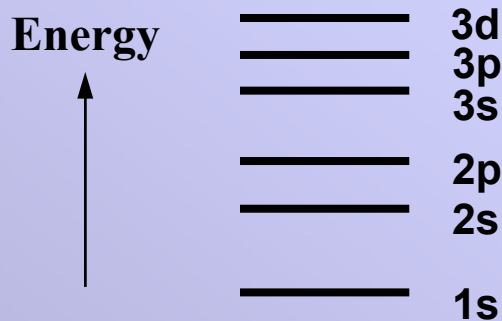
Why Study Semiconductor Quantum Dots?

- To understand the physics of quantum confinement
- Is there anything *atomic* about “artificial atoms” in a solid?
- Potentials for technology applications

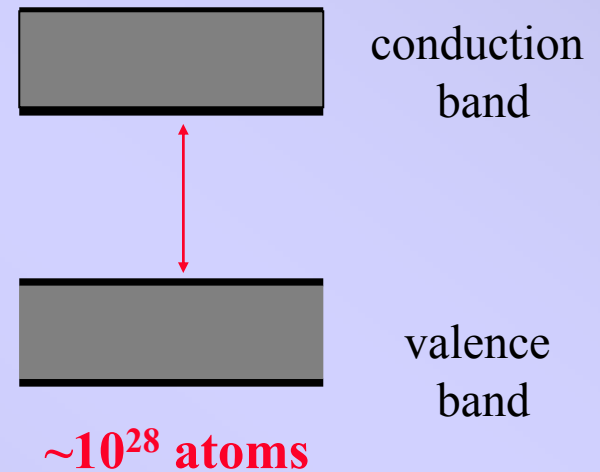
From atomic levels to bands...



Discrete “atomic” Levels



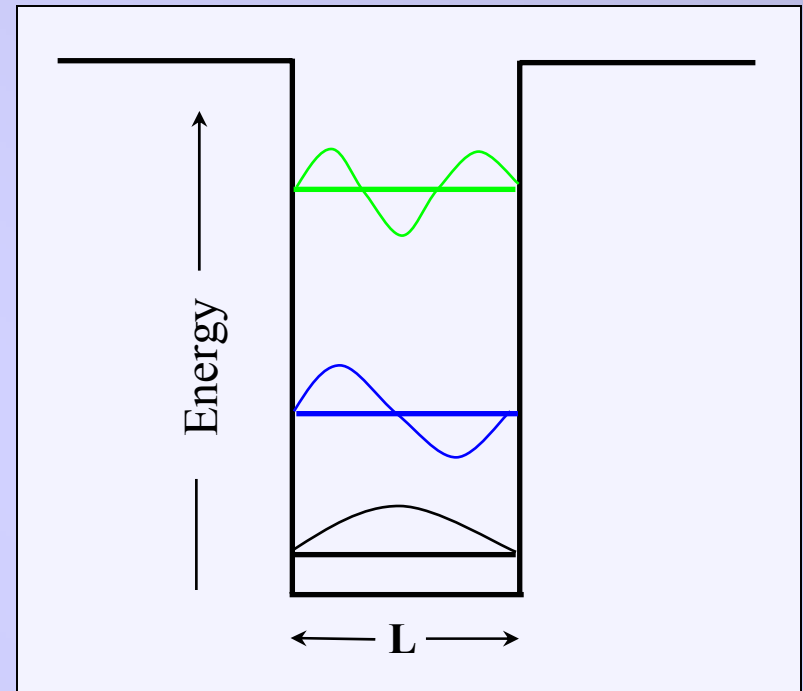
Smeared energy bands



And back again....

Artificial atoms (a.k.a. quantum dots!) the “particle in a box”

- Quantum Mechanics requires that particles have wave properties
- An electron confined to a box has allowed frequencies
- We can solve a wave equation, called the Schrodinger equation, for particle-waves

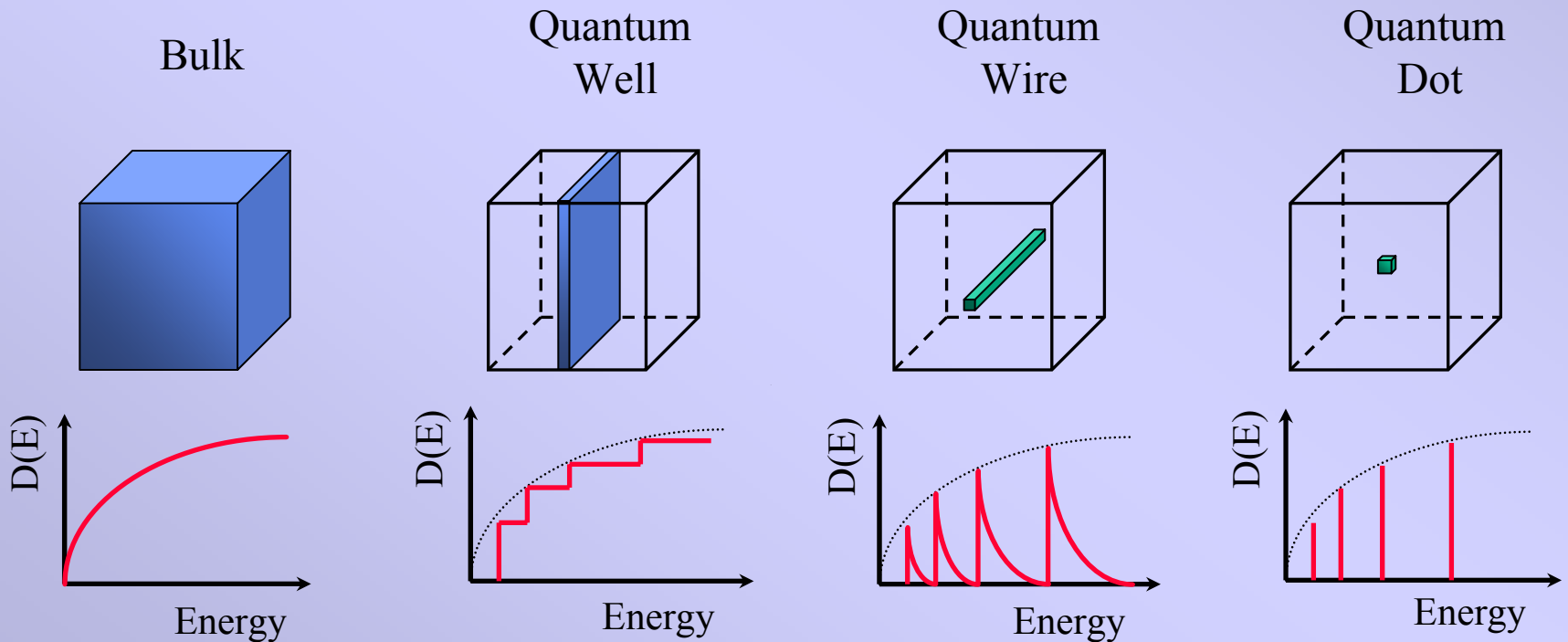


$$\frac{d^2\Psi}{dx^2} + \left(\frac{2mE}{\hbar^2} - V \right) \Psi = 0$$

$$E_n = \frac{\hbar^2 (\pi n)^2}{2mL^2}$$

Reduced Dimensionality

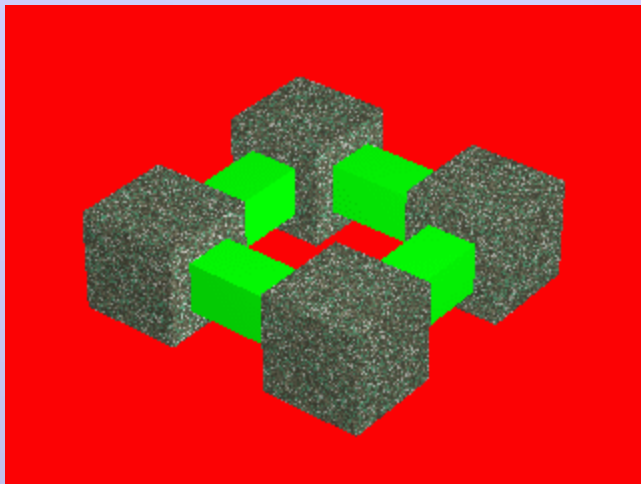
- Confining a carrier in at least one spatial dimension at scale of the order of de Broglie wavelength leads to quantum size effects



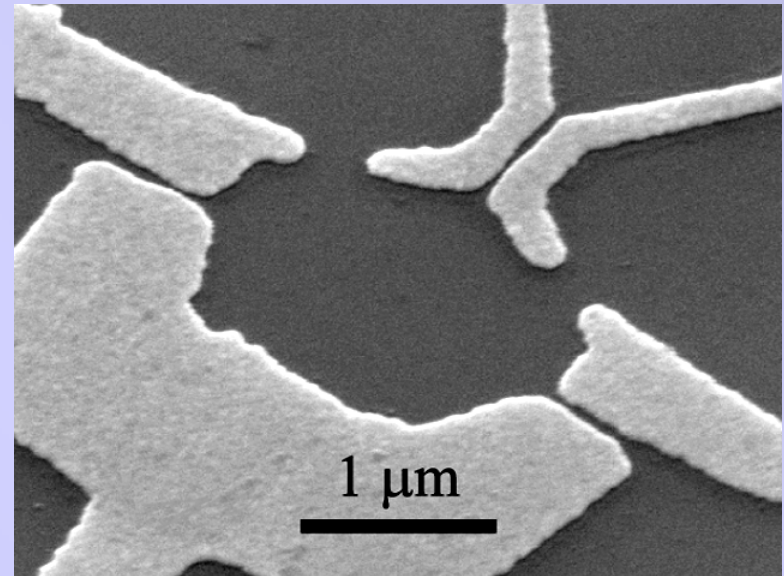
Electronic density of states in different structures

QD Fabrication Techniques

- **Photolithographic** Fabrication of QDs



McMaster University

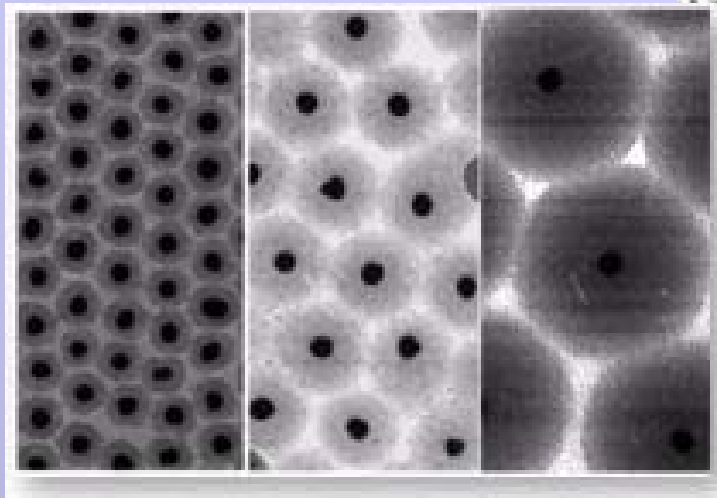


University of Stuttgart

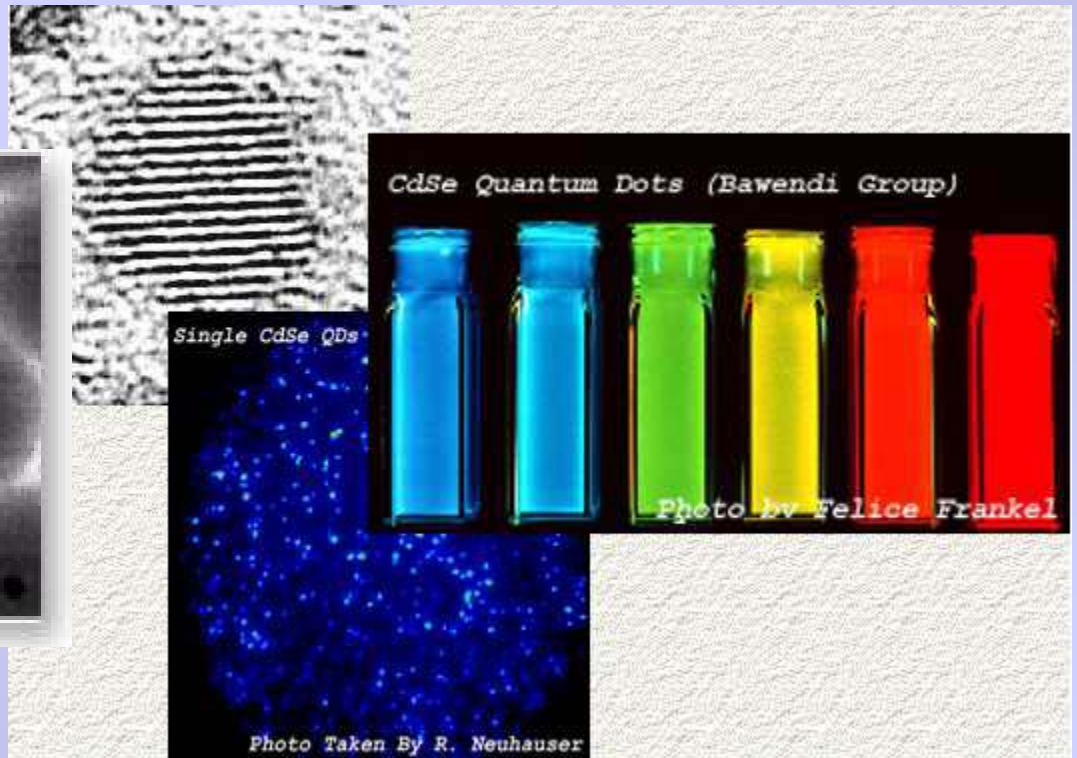
- **Chemical Synthesis** of QDs
- **Molecular Beam Epitaxial Growth** of QDs

Chemical Synthesis of QDs

- Pyrolysis of organometallic precursors in a coordinating solvent
- Size-selected by precipitation
- Result in 5% monodispersed spherical 23-100 Å QDs (oblateness 1.1-1.3)

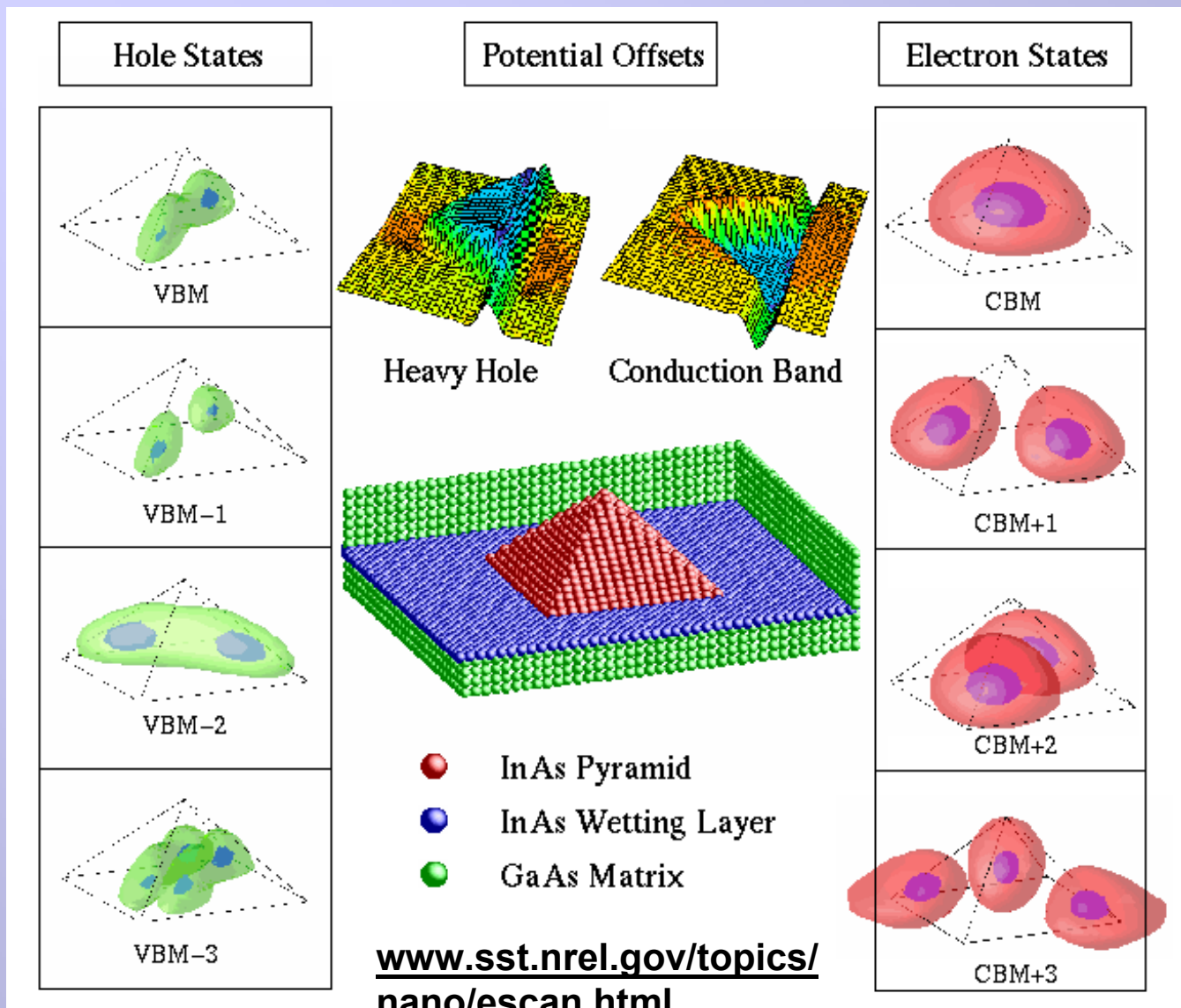


The University of Melbourne



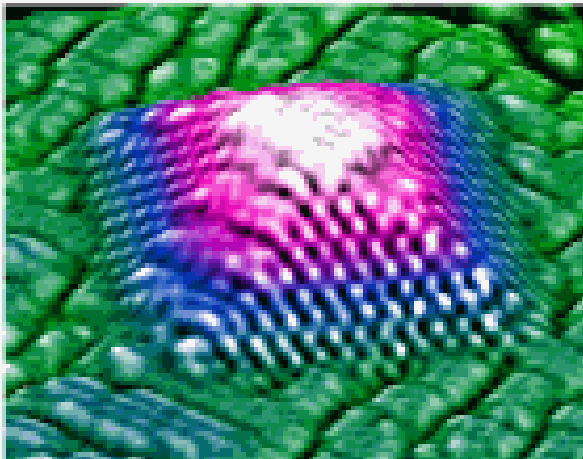
Bawendi, MIT

Growth of III-V QDs

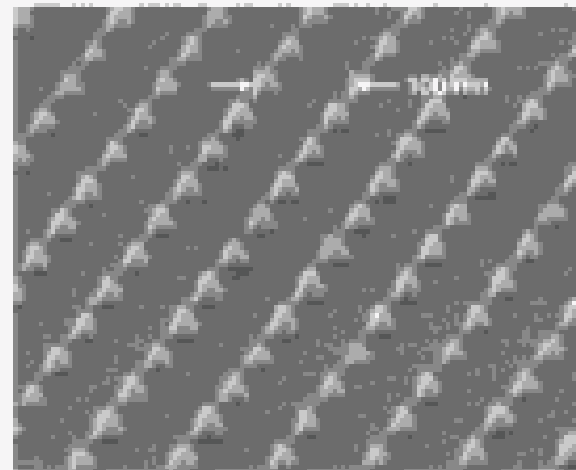


Some QD Pyramids

Arrays of Quantum Dots

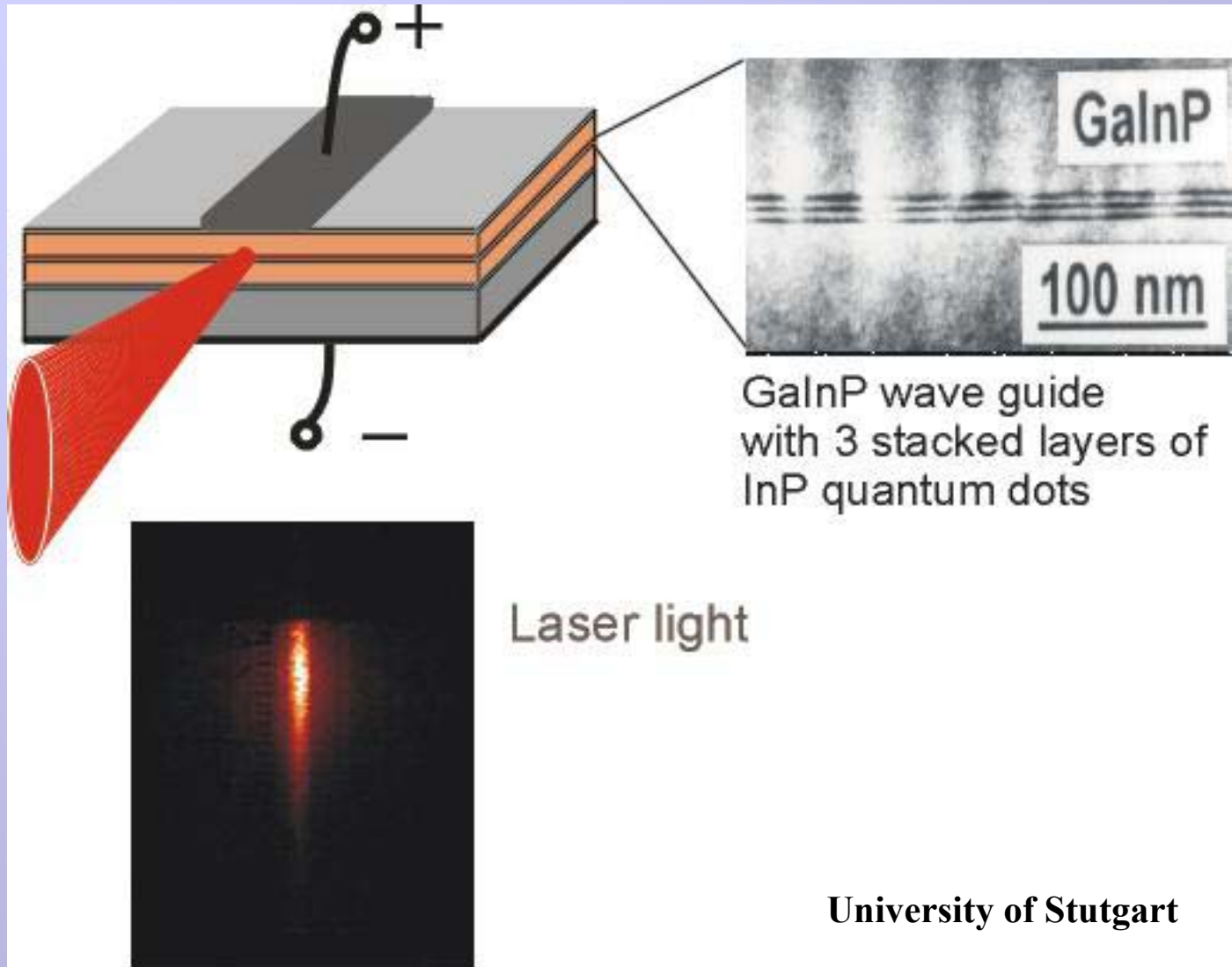


Self-assembled Germanium pyramid
Size 10 nm (1999)

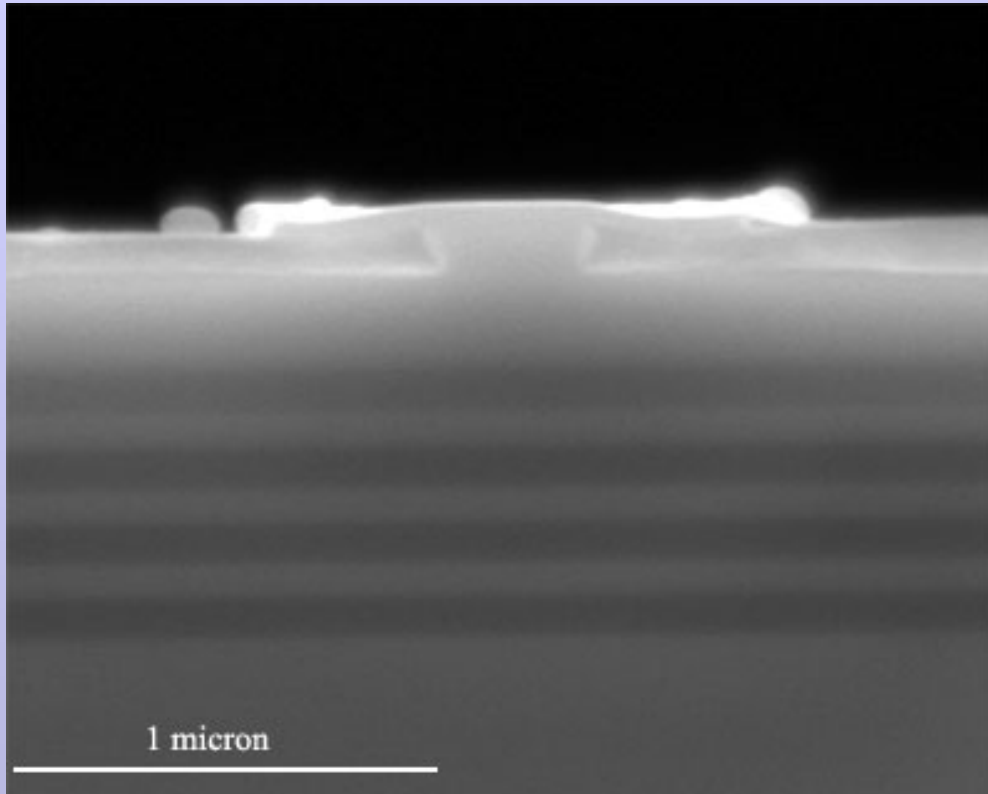


Ni-alloy evaporated pyramids
Size 30 nm (1999)

InP QD Laser



InAs QD LEDs

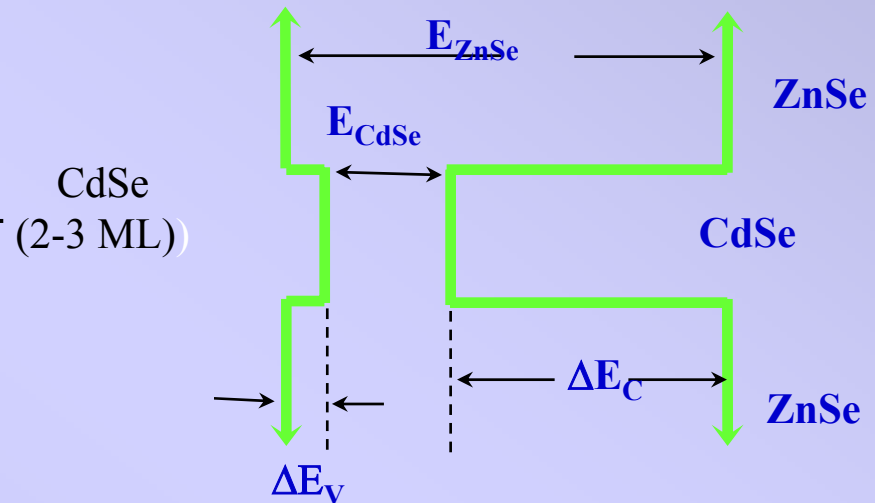
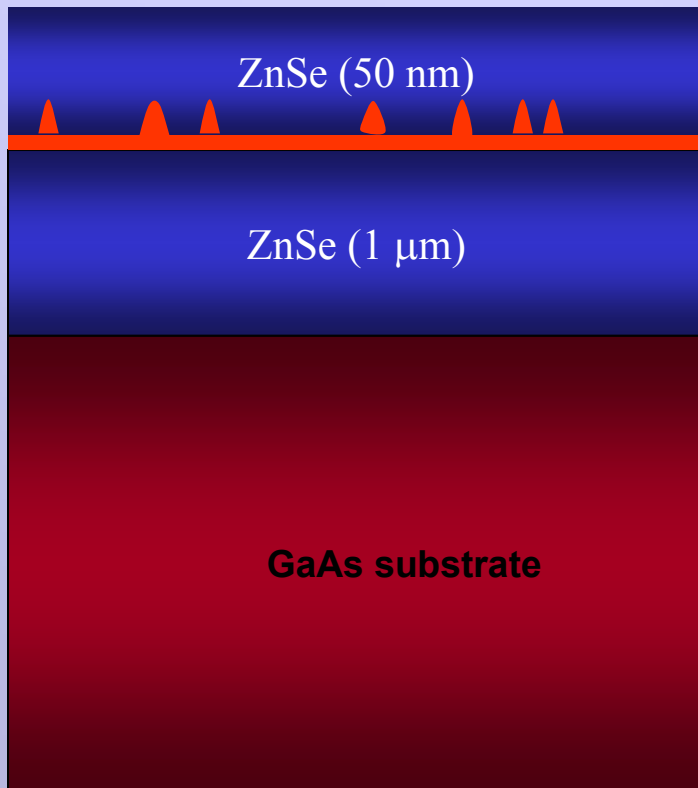


**Source: Swiss Federal Institute of
Technology at Lausanne**

**Description: This
electron microscope
image shows a side
view of a light-
emitting diode that is
just one tenth the size
of a red blood cell**

25 nm x 7 nm QDs

Molecular Beam Epitaxy Growth of CdSe on ZnSe (SAQDs)



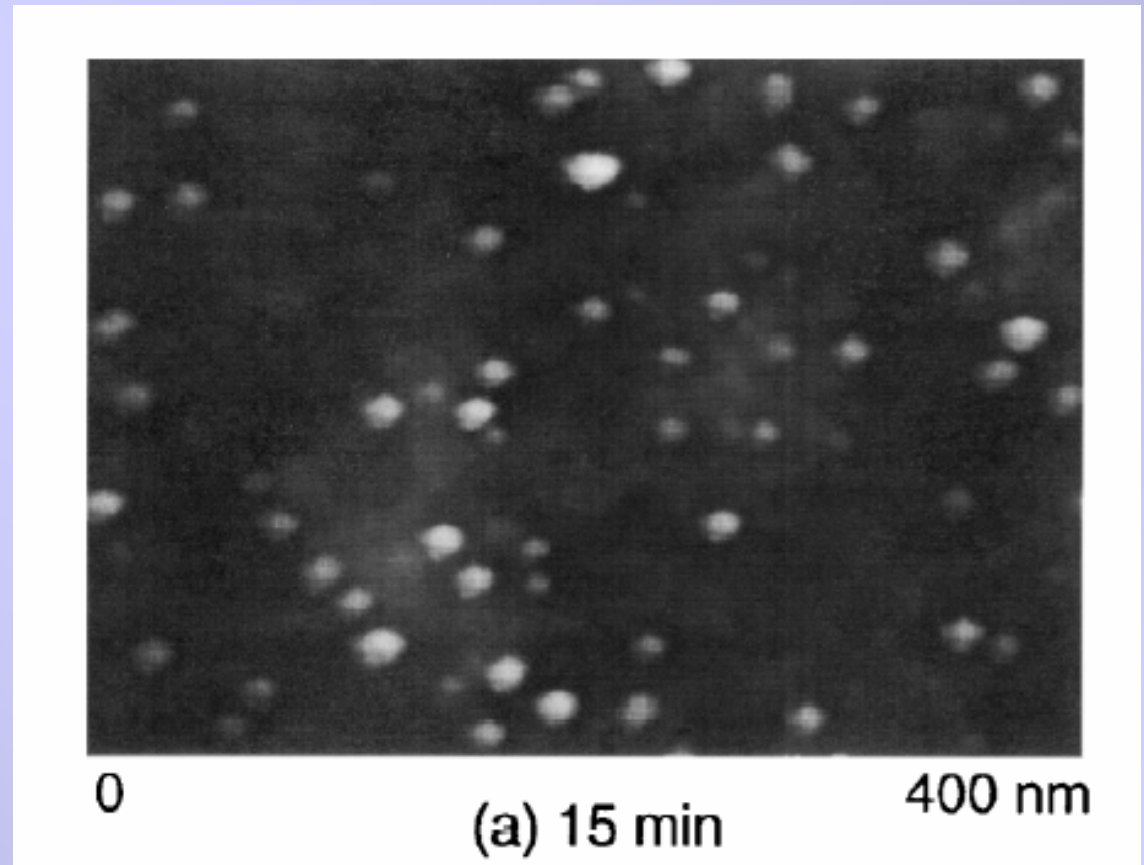
$$\text{CdSe } E_{\text{Gap}} = 1.84 \text{ eV (2 K)}$$

$$\text{ZnSe } E_{\text{Gap}} = 2.82 \text{ eV (2 K)}$$

7% lattice mismatch between CdSe & ZnSe

AFM Image of QDs before Cap

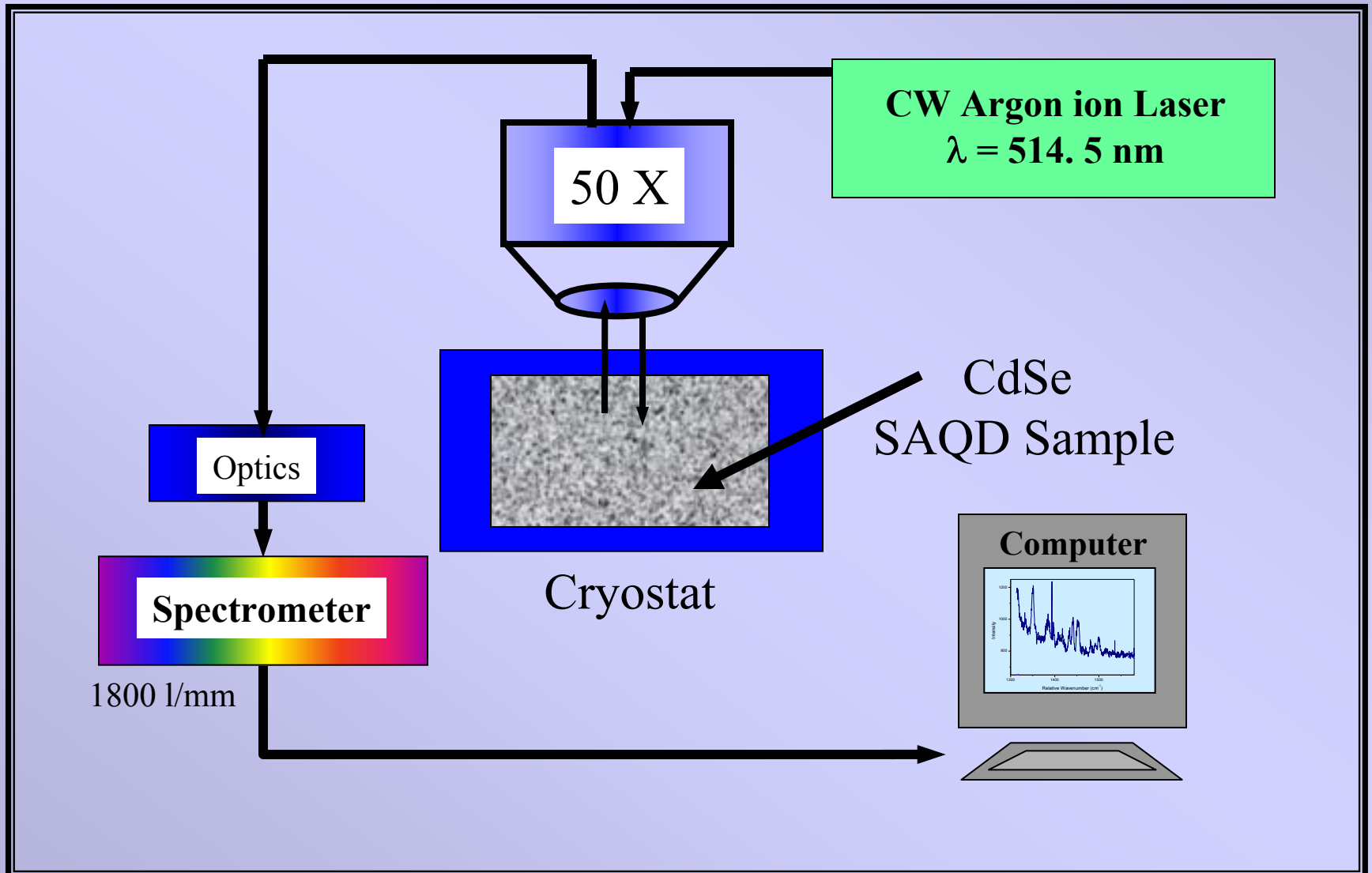
- 10-30 nm diameter
- 2-4 nm high
- 700 QDs μm^{-2}



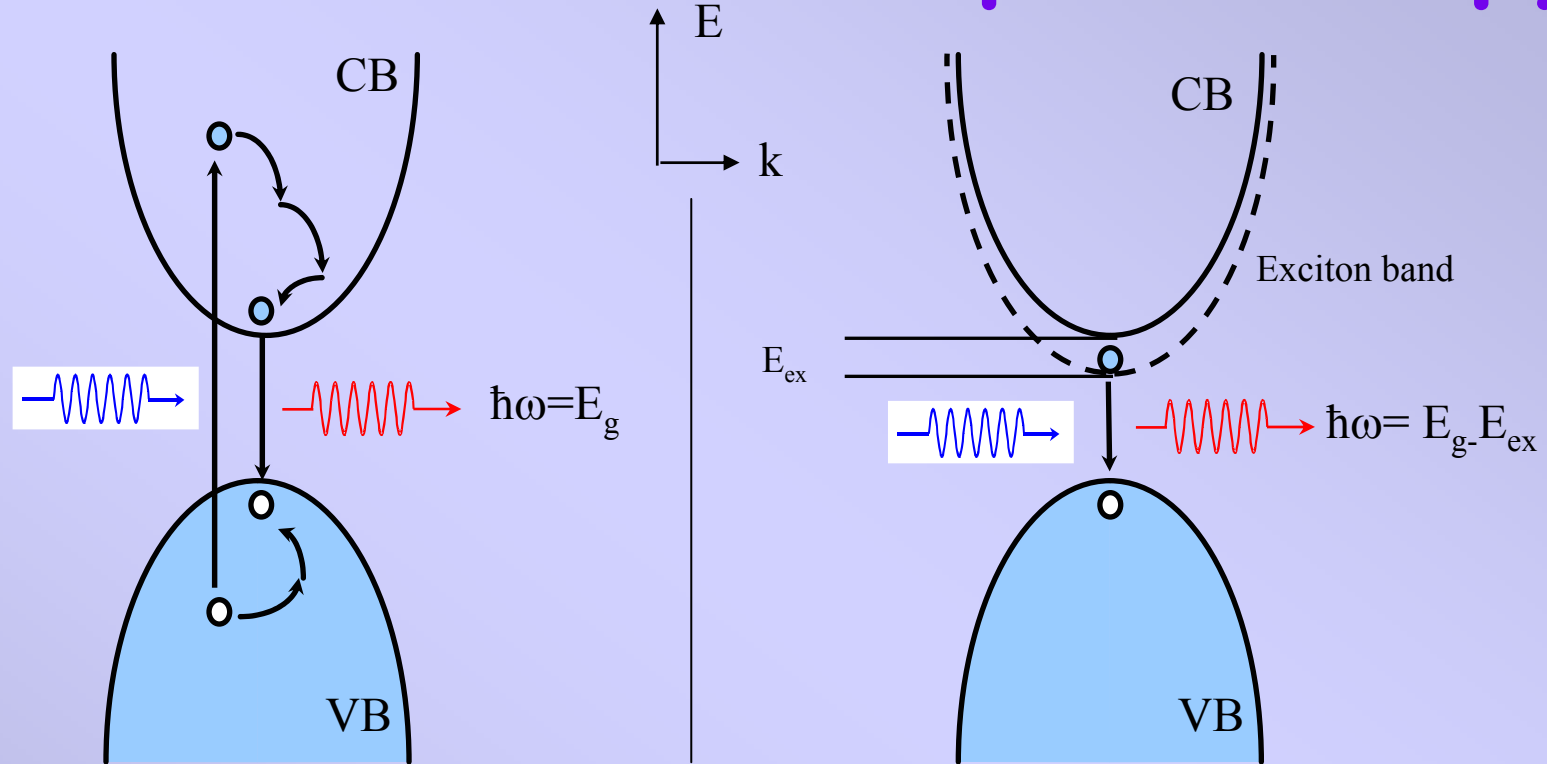
Now that we have made
QDs,
How do we probe these
structures?

- Optically via Photoluminescence
 - Non-destructive

Photoluminescence Set-Up



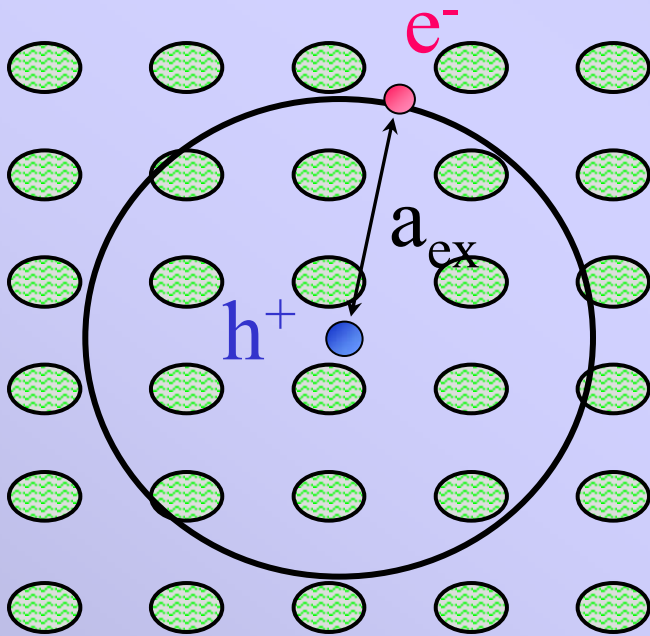
Photoluminescence Spectroscopy



- A laser excites electrons from the valence band into the conduction band, creating *electron-hole pairs*.
- These electrons and holes recombine and emit a photon.
- We measure the number of emitted photons (intensity) as a **function of energy**.

Excitons:

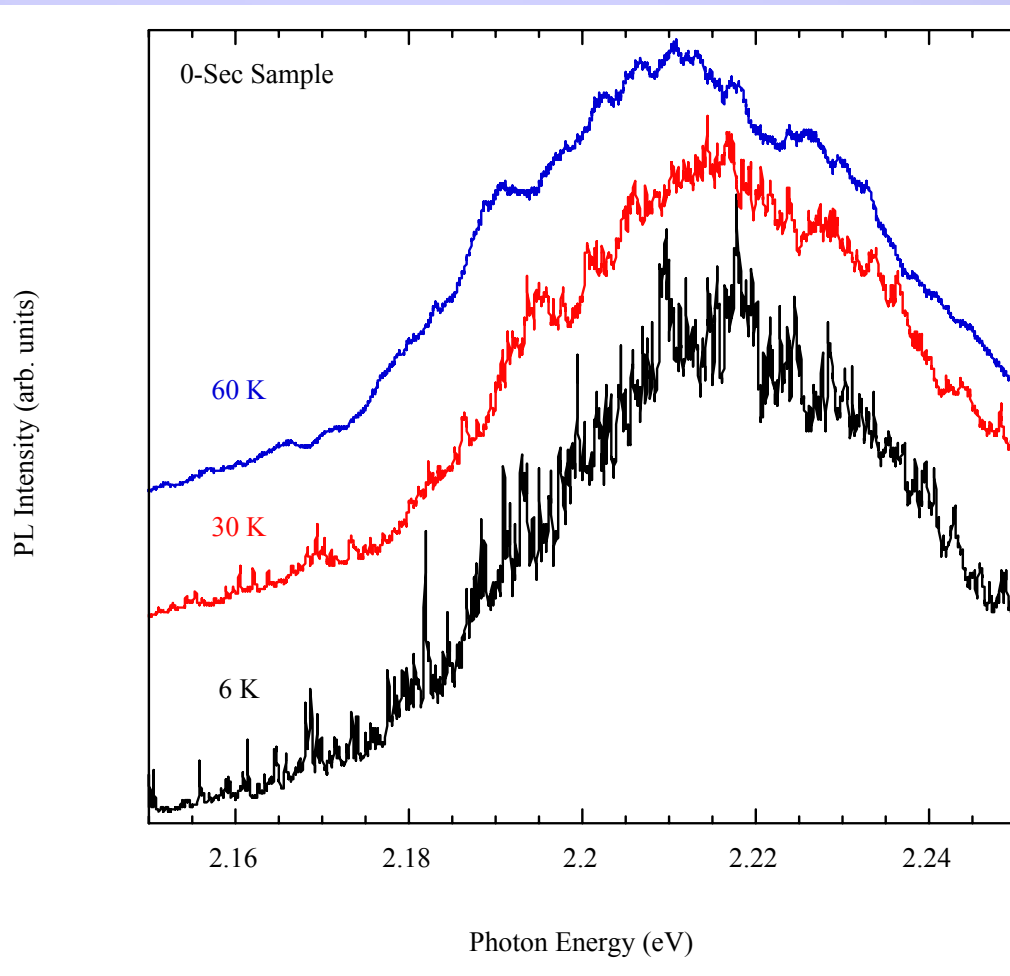
Hydrogen-like bound state of an electron and hole.



- Screened Coulomb attraction
- Small Binding energy
- Large Bohr radius

Material	m_e^*	m_h^*	m_{ex}^*	ϵ	a_{ex}	E_{ex}
ZnSe	$0.16 m_0$	$0.78 m_0$	$0.13 m_0$	9	37 \AA	15 meV
CdSe	$0.13 m_0$	$0.9 m_0$	$0.11 m_0$	10	48 \AA	22 meV

Temperature Dependent Micro-Photoluminescence

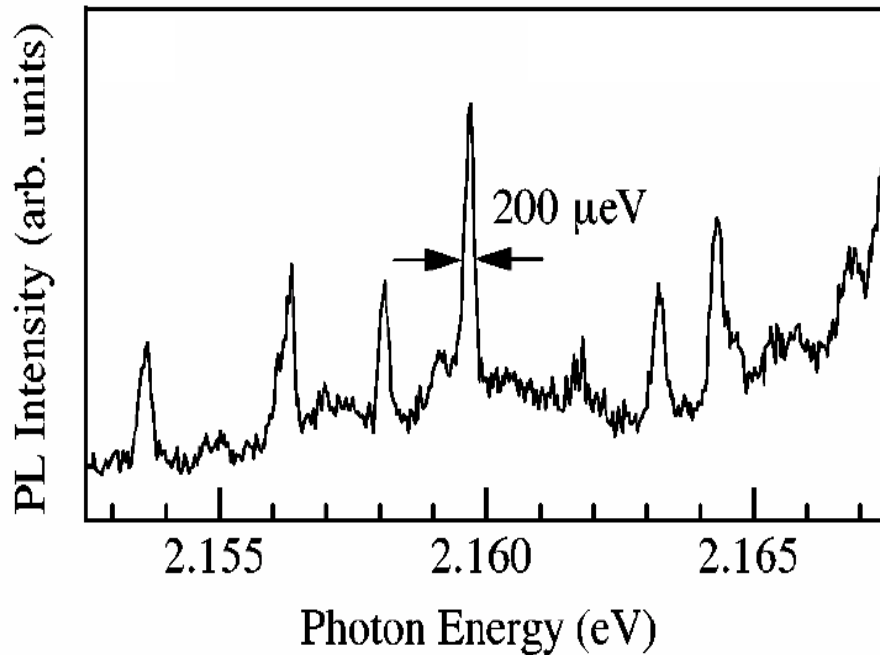


Broad peak remains
strong at 60 K

Sharp peaks disappear
at once

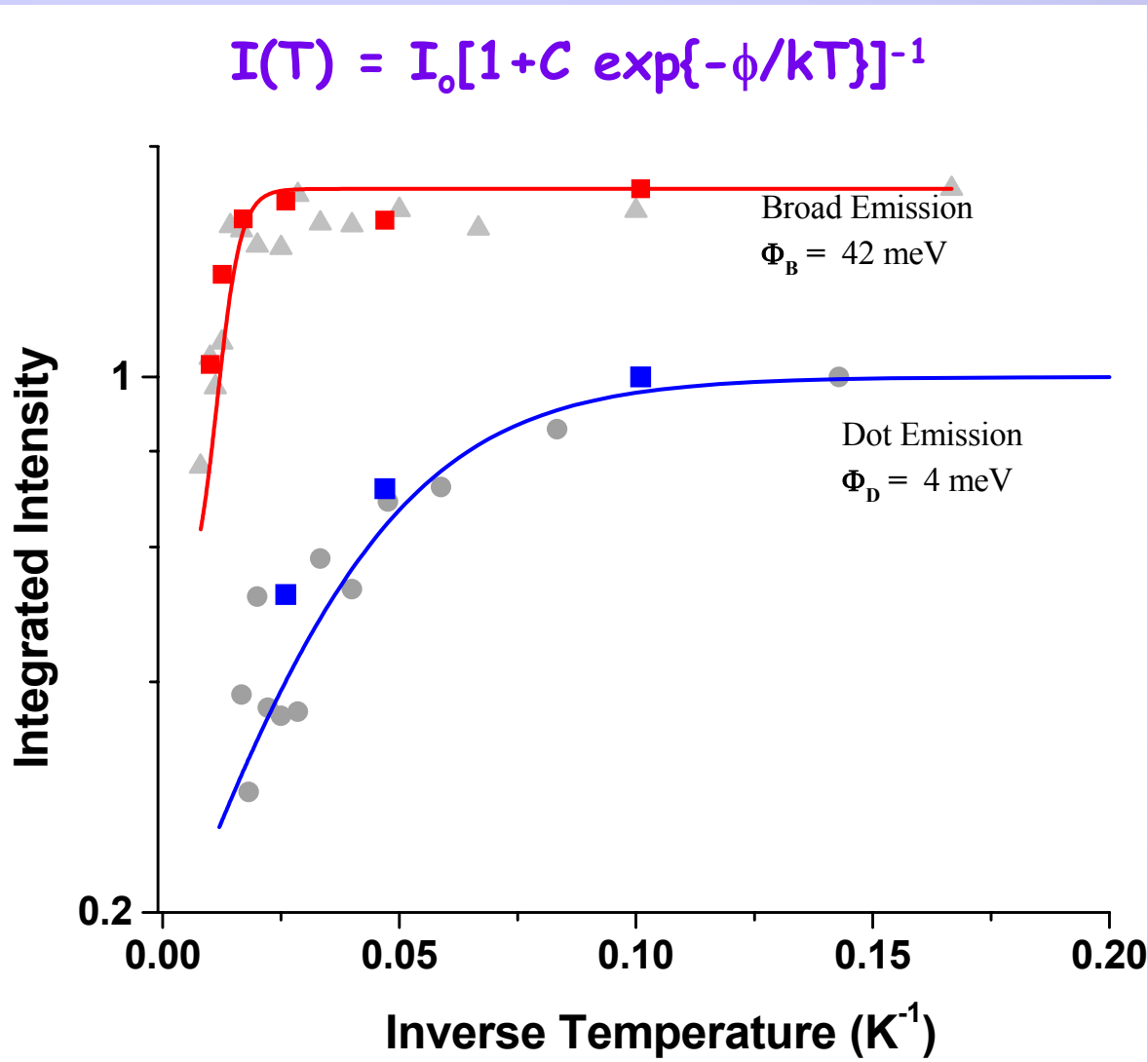
i.e. an ensemble
behavior is observed
over ~ 70 meV

Expanded PL Spectra



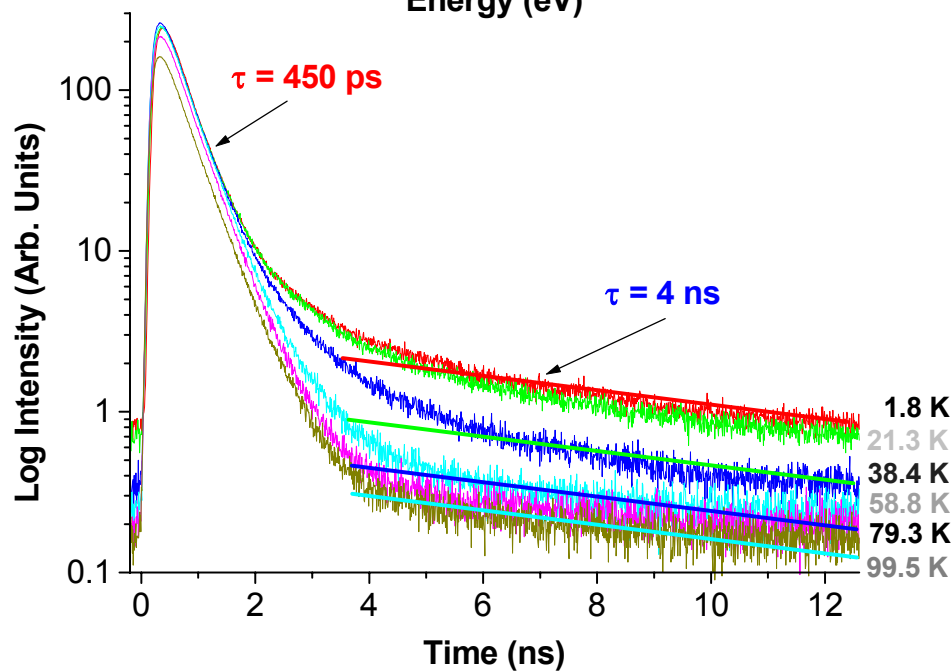
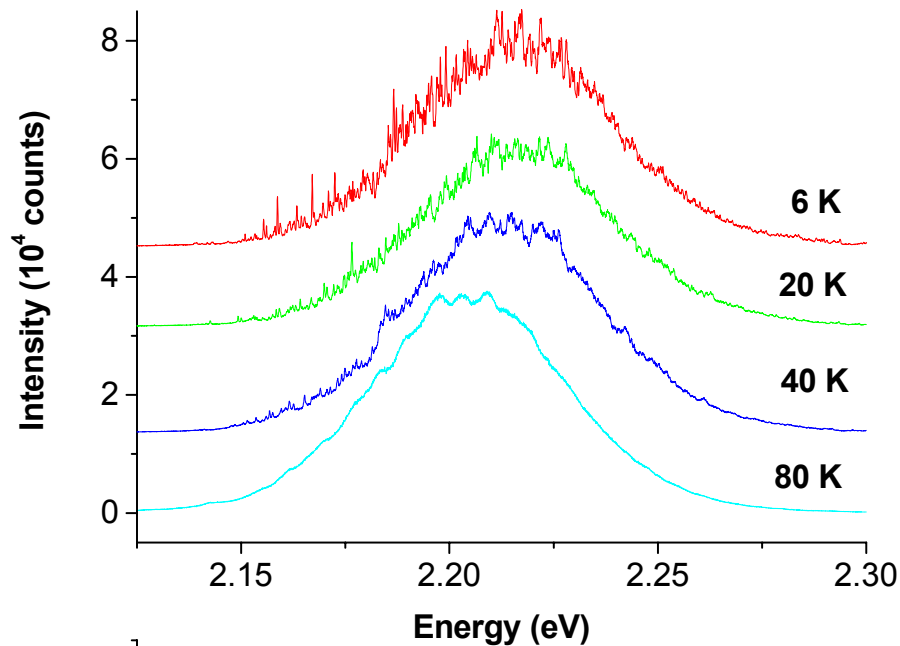
- Individual delta-like peaks corresponding to single QD emission
- Recall “particle in a box”

Sharp vs. Broad Features



- Temperature dependence of two components is the same under both cw and pulsed excitation.
- What are the lifetime associated with these two different activation energies?

Exciton Decays VS Temperature

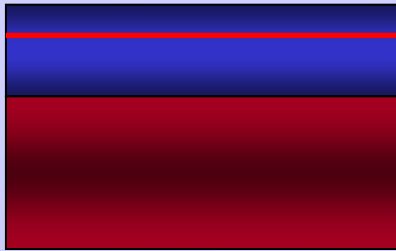


- Evidence for **two states** is also seen in the PL decay-times
- Broad PL \rightarrow short lifetime
- Narrow PL \rightarrow long lifetime

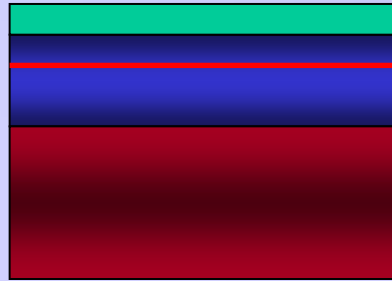
Can we isolate the QDs & then do PL?

- **Micro-PL** – already accomplished with microscope objective - 0.7 micron spotsizes
- **Nano-PL** – accomplished with apertures etched into an overlayer – 5 micron per side to 0.07 micron per side

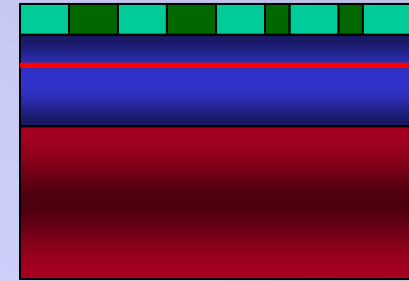
Fabrication of Nano-Apertures



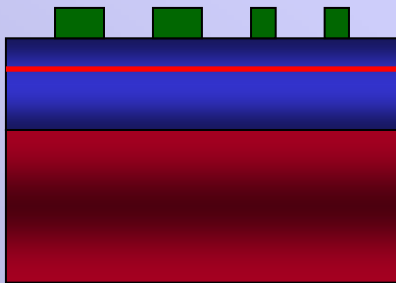
(1) CdSe/ZnSe sample



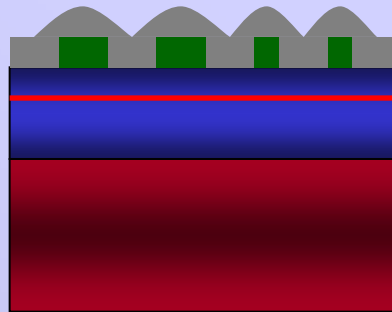
(2) Resist



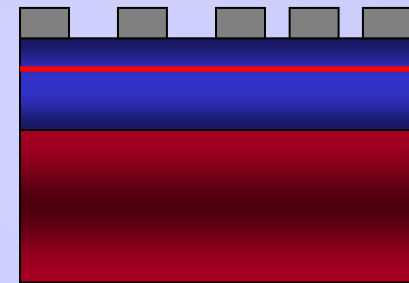
(3) E-beam writing



(4) Development of exposed resist

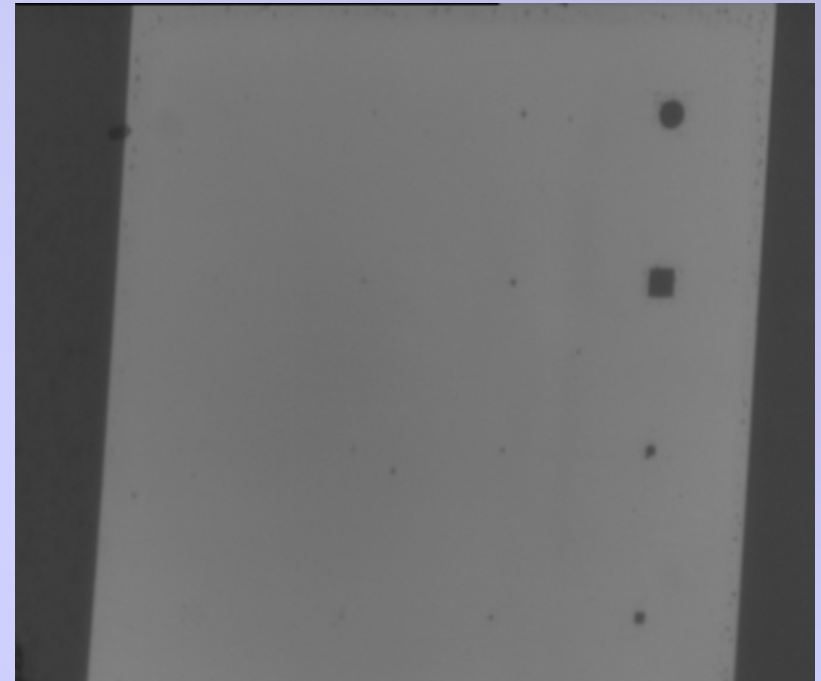
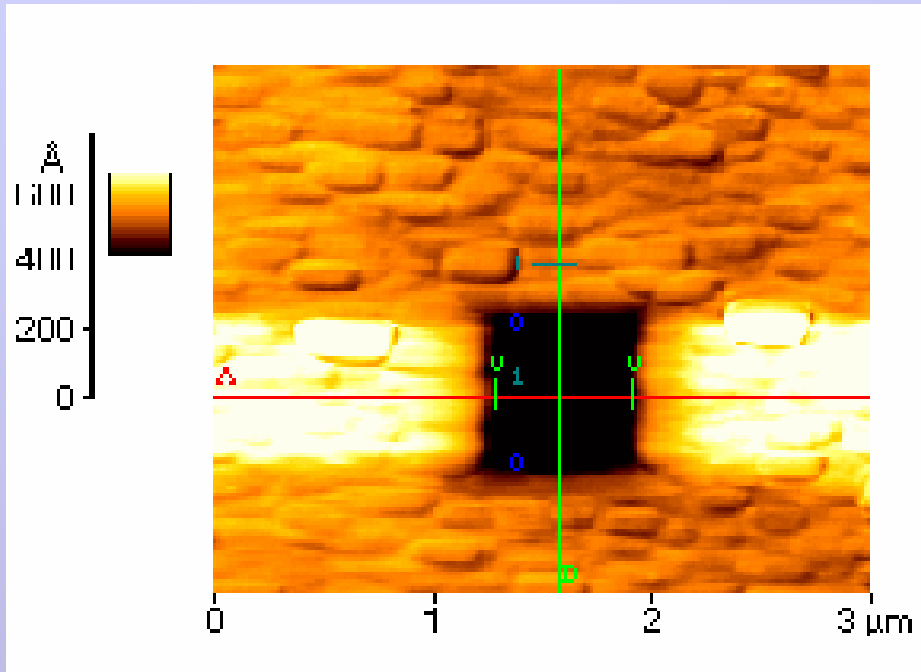


(5) Electron beam evaporation deposition



(6) Lift off

Nano-Aperture Profile

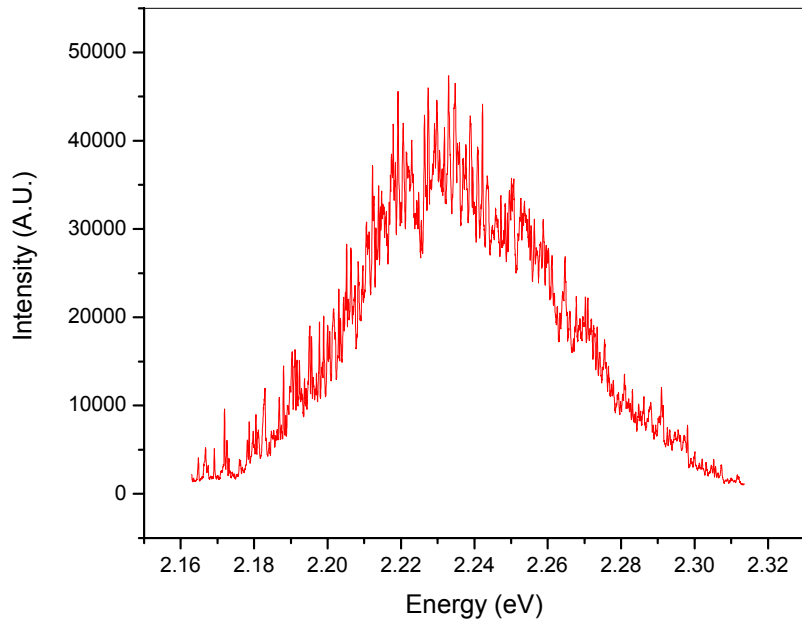


- Atomic Force Microscope image of a $0.51 \mu\text{m}^2$ aperture

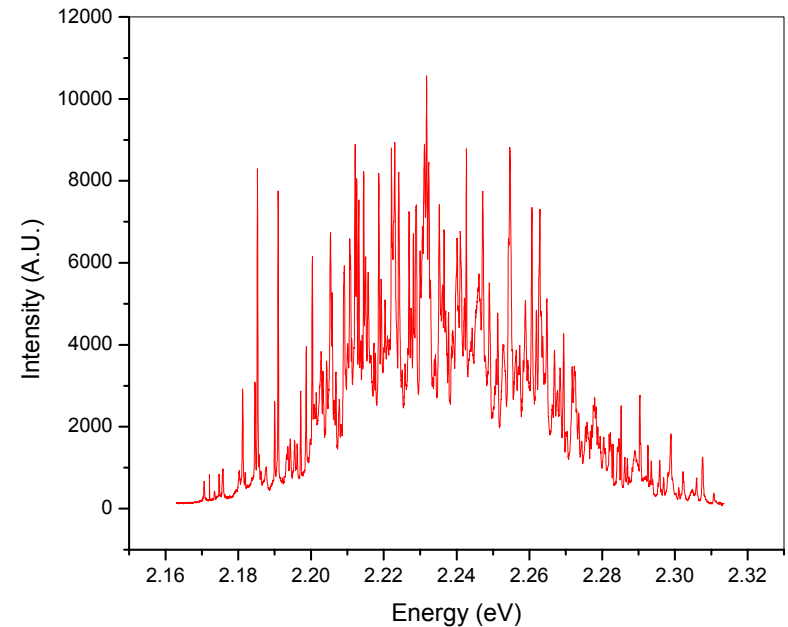
- Optical image an aluminum pad $\sim 40 \text{ nm}$ thick fabricated on top of the the CdSe

Apertures made by K. Leosson, COM/DTU Lyngby, DK

Experimental Nano-PL Spectra

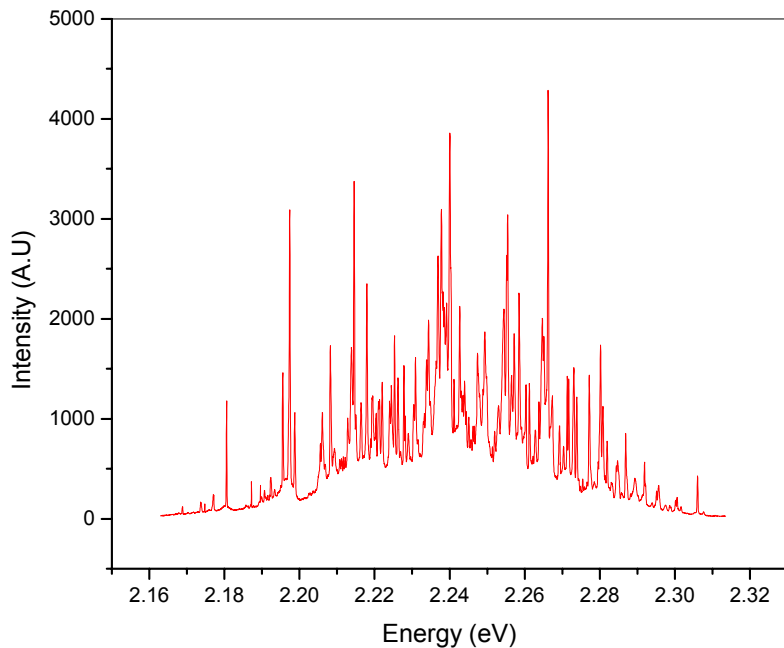


3.53 μm^2 (2500 QDs)

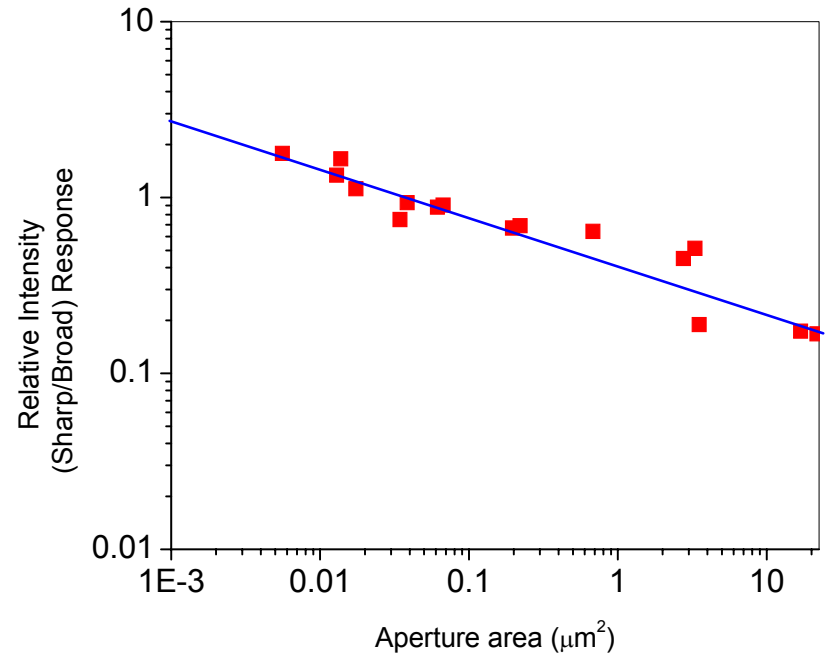


0.062 μm^2 (45 QDs)

Experimental Nano-PL Spectra & Analysis



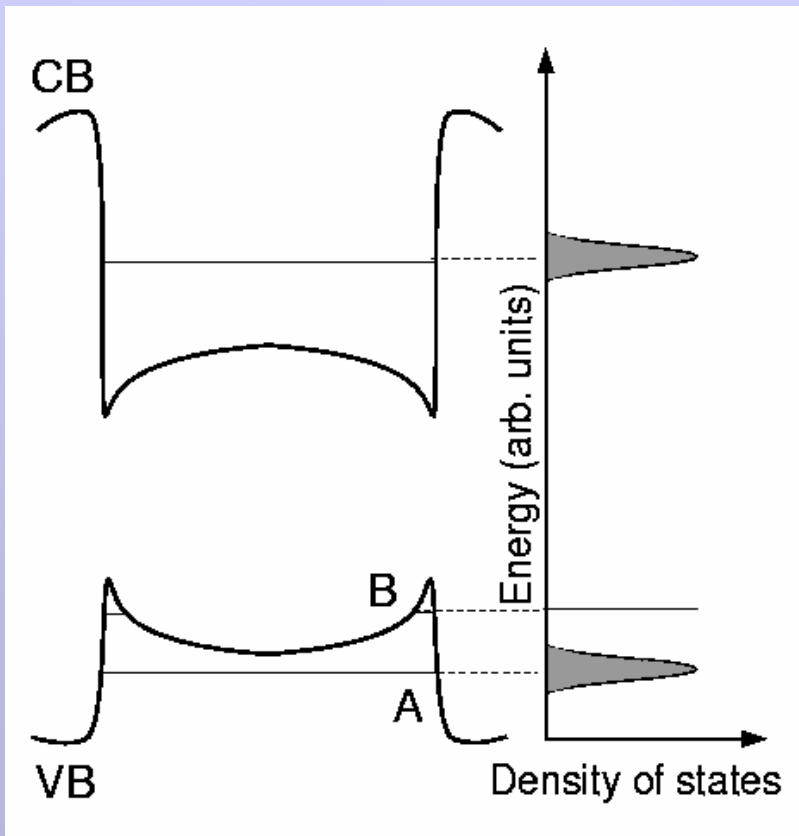
$0.013 \mu\text{m}^2$ (10 QDs)



Fractional Integrated Intensity
(Narrow Peak to Broad Feature)

One Possible Explanation:

Strain-induced local potential minima results in *two distinct VB states*



- Highly localized “0-D” ground state (B)
- Inhomogeneously broad excited state (A)

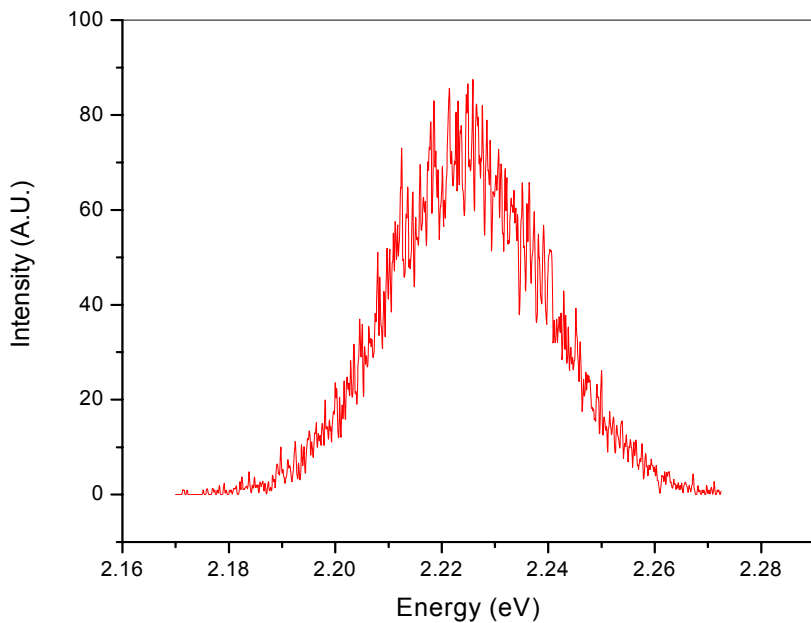
Two Distinct States mean :

- Different Spectral Widths
- Different Exciton Lifetimes
- Different Temperature Dependence
(activation energies)

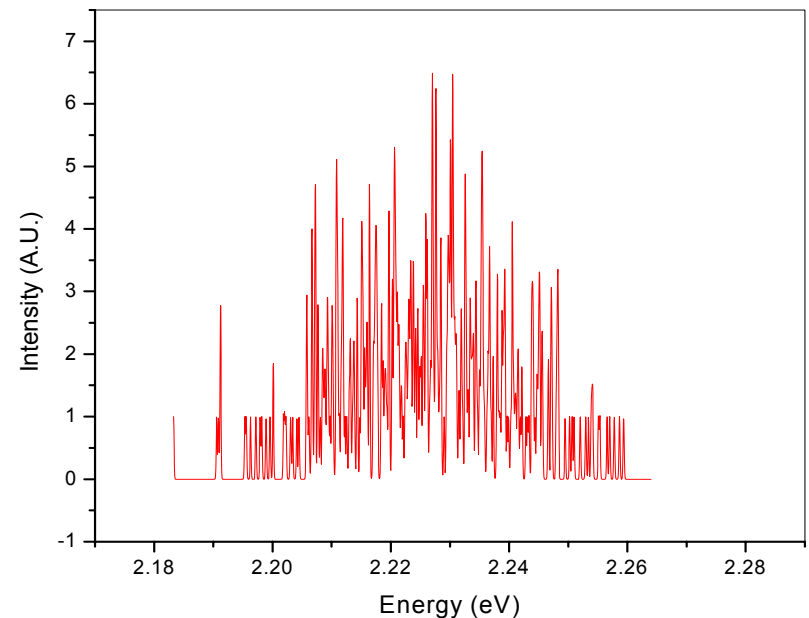
While this is consistent with experimentally observed behavior, is it theoretically consistent?

Single State Model Simulated Spectra

Multiple individual peaks with 250 μeV linewidths

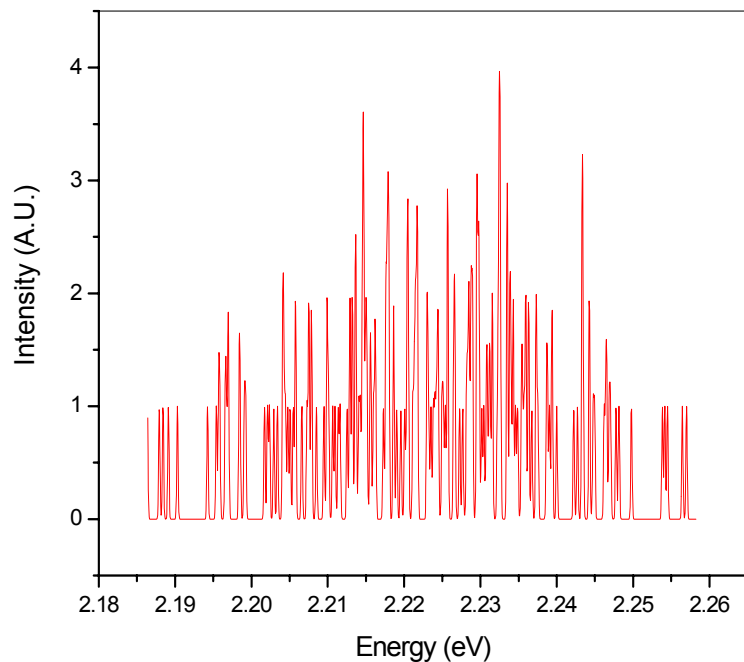


12,500 Single Peaks (2100 QDs)

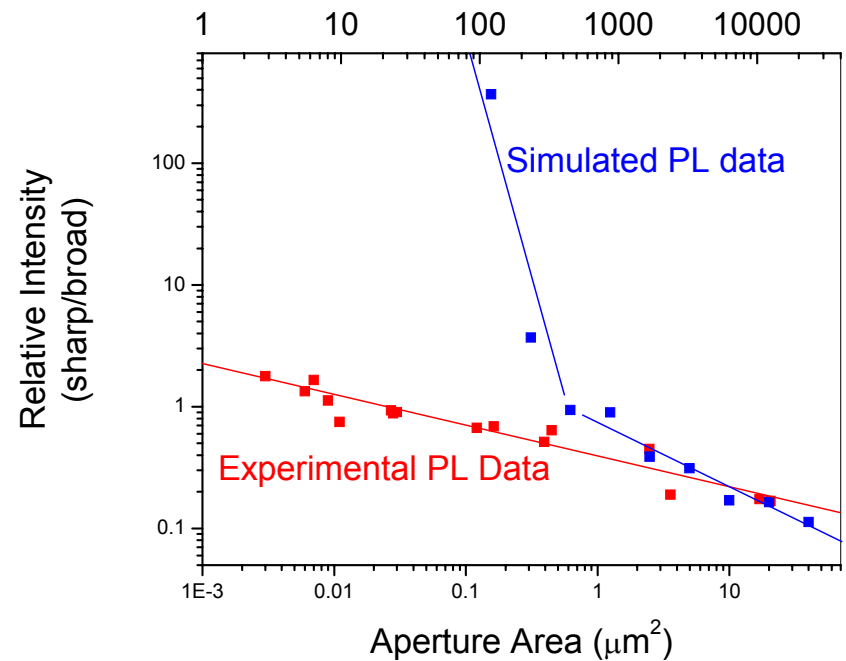


400 Single Peaks (50 QDs)

Single State Model Simulated Spectra & Analysis



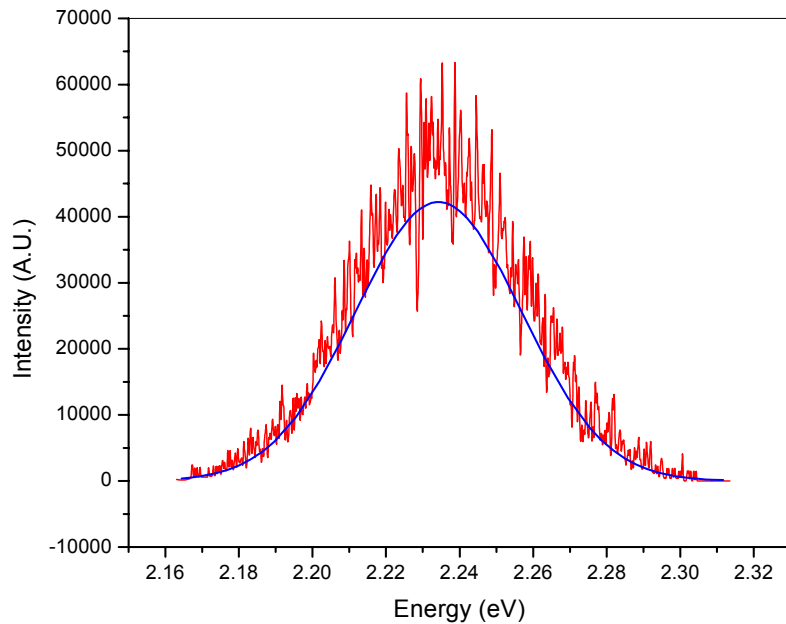
200 Single Peaks (30 QDs)



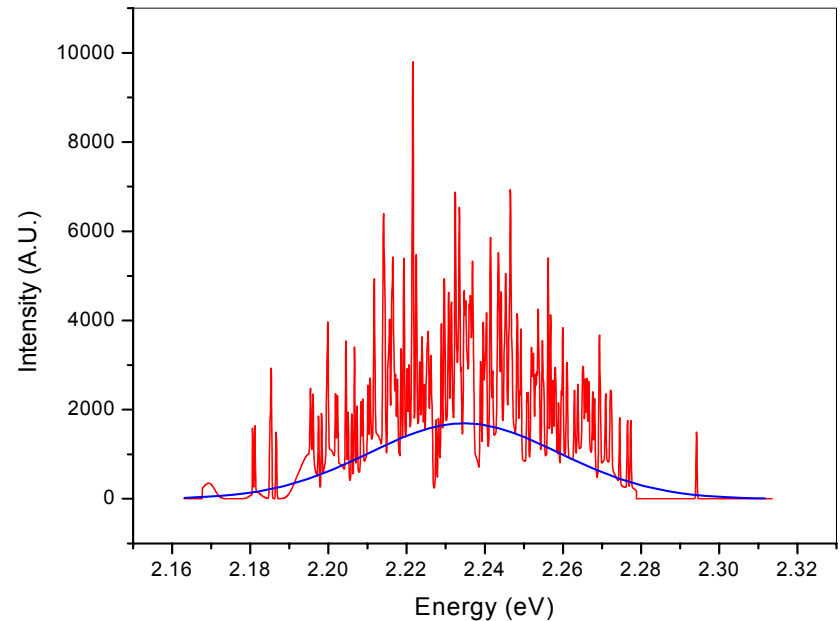
Fractional Integrated Intensity
(Narrow Peak to Broad Feature)

Two State Model Simulated Spectra

**Multiple pairs of peaks with
300 μeV & 3000 μeV linewidths**

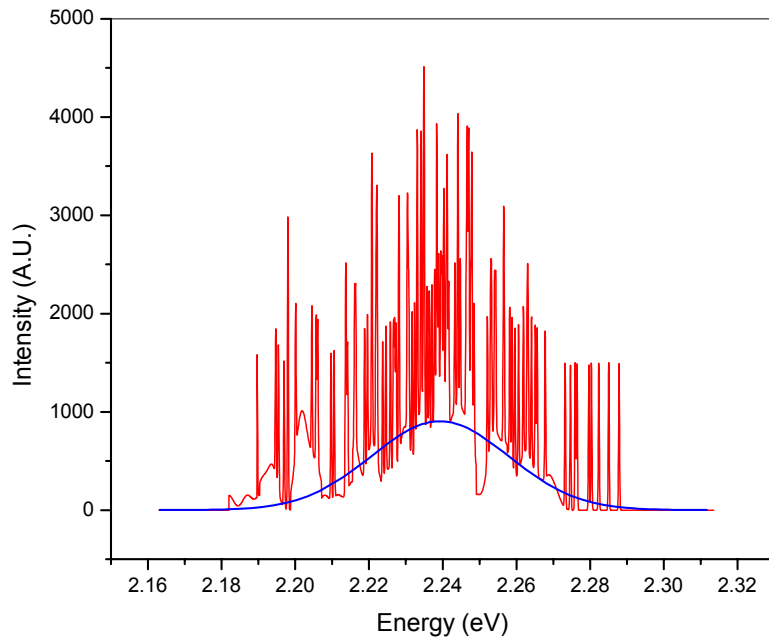


3000 Peak Pairs (500 QDs)

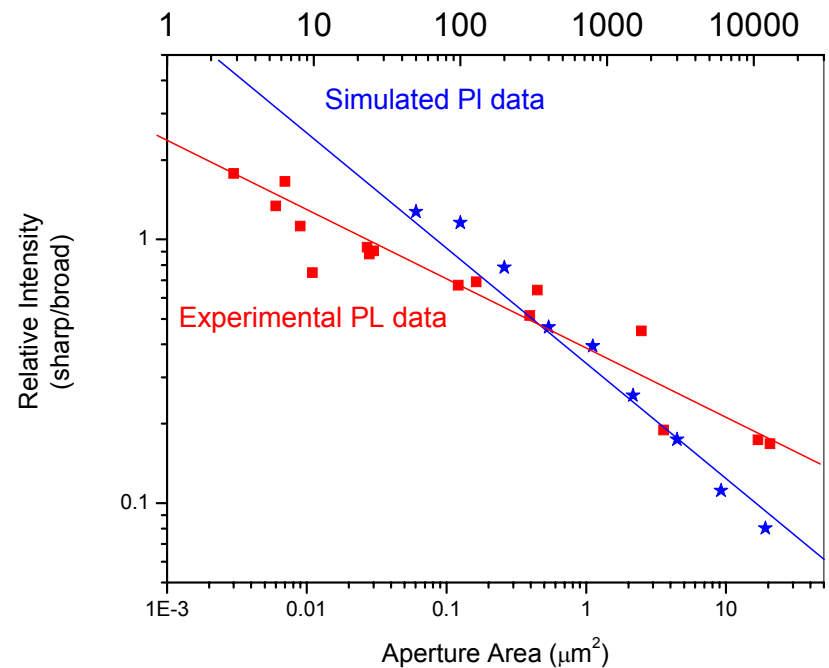


200 Peak Pairs (50 QDs)

Two State Model Simulated Spectra & Analysis



100 Peak Pairs (15 QDs)



Fractional Integrated Intensity
(Narrow Peak to Broad Feature)

What have we learned about QD systems?

- Self-assembled quantum dots are nanometer structures which exhibit quantum effects
- Photo-luminescence emission is comprised of narrow peaks & broad features relating to two electronic states
- PLE demonstrates that excitons have different local energy landscapes within the quantum dots
- SAQDs show great promise both for studying fundamental science of quantum confined systems and for use as light sources in various applications.

Where do we go from here?

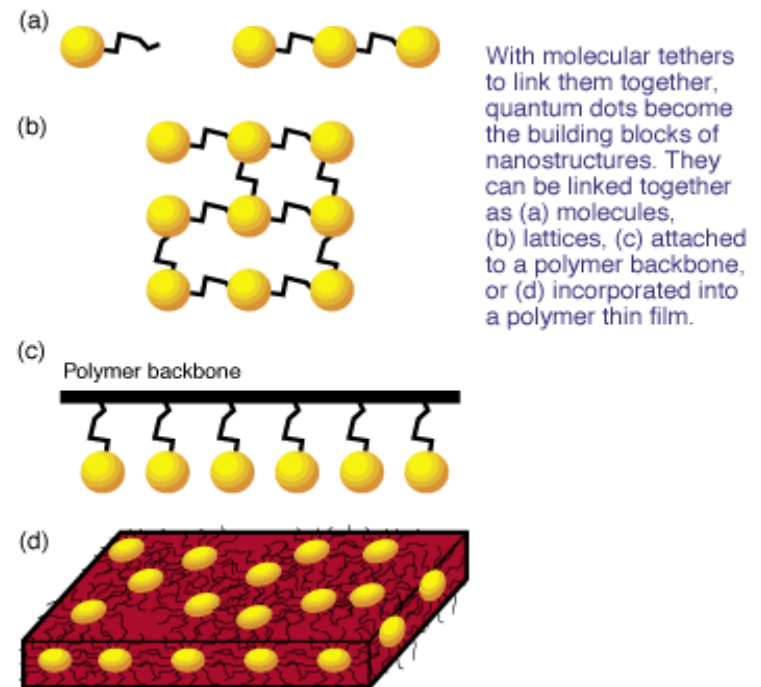
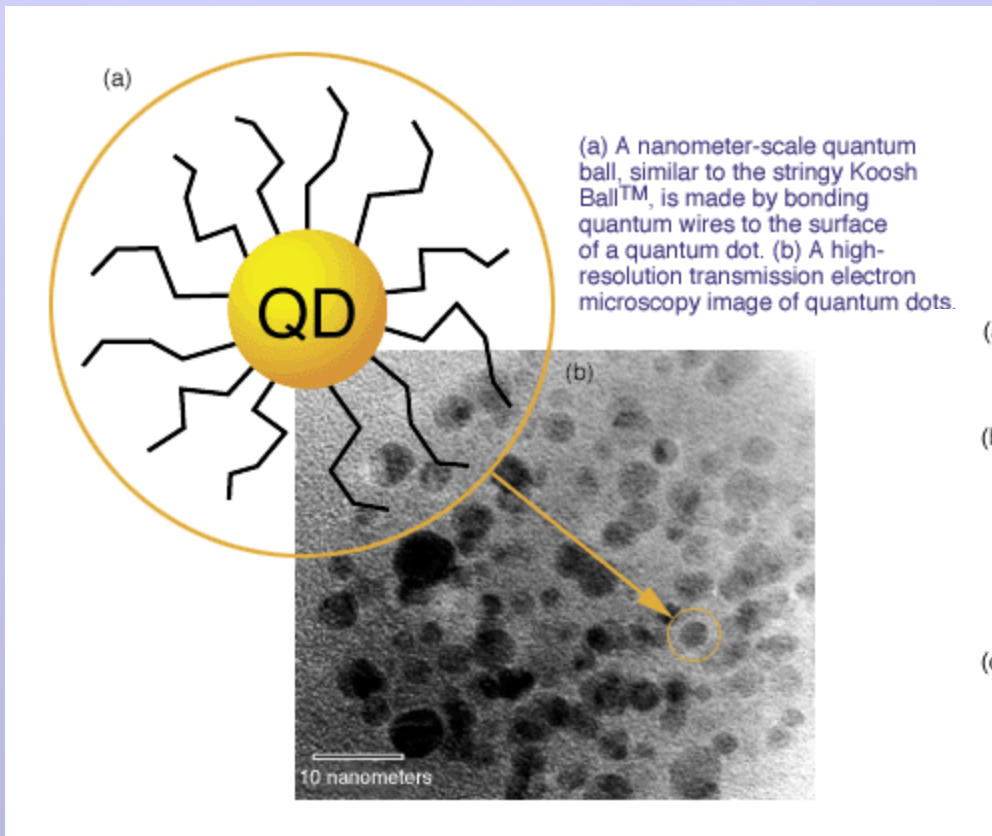
- **Photonic Structures**

- Add chemically prepared QD spheres to self-assembled bi-block polymers
- Incorporate SAQDs as an active medium in photonic band gap structures

- **Computing Structures**

- Add magnetic material (eg. Mg) to SAQDs Magnetic spin control implies quantum computing applications
- QDs can be used for making ultra-fast, all optical switches and logic gates

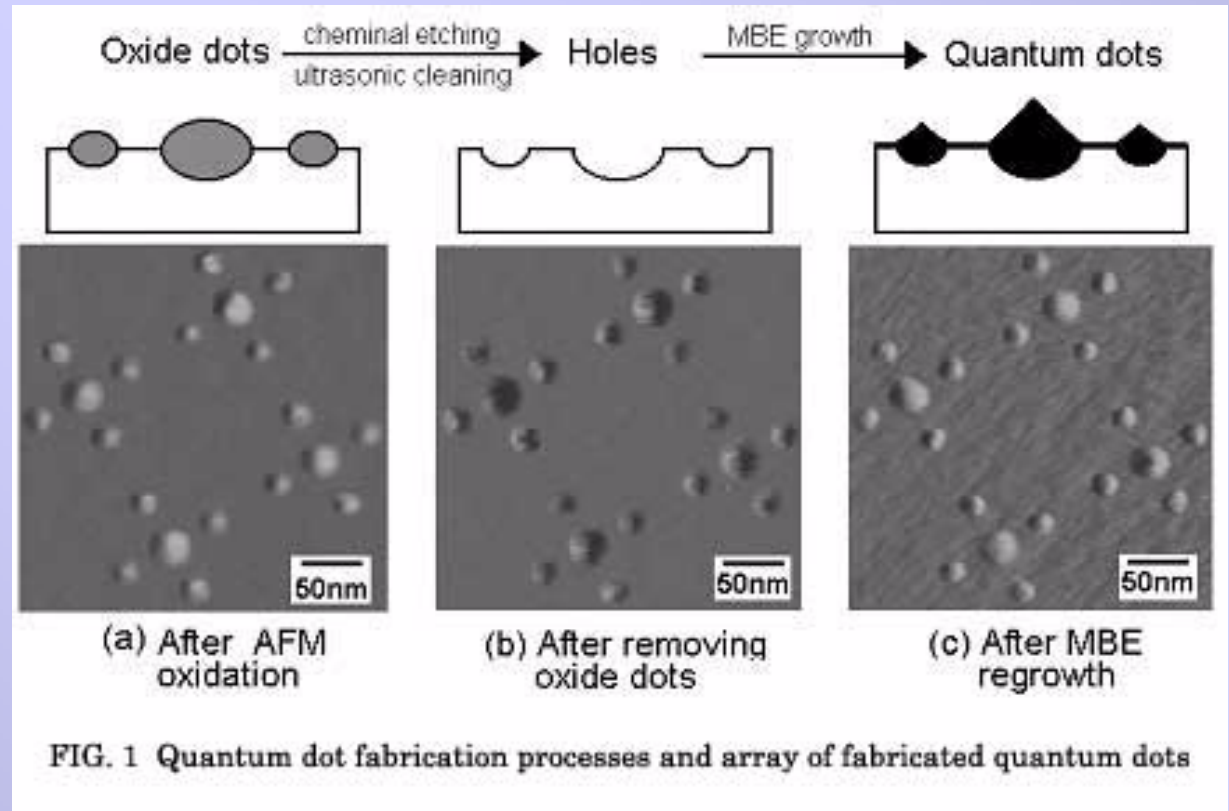
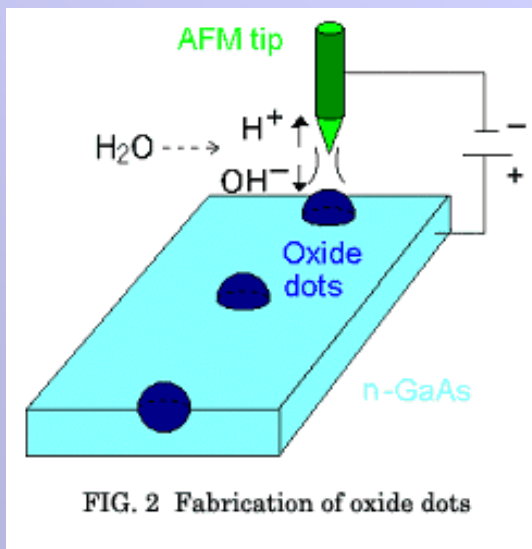
Spherical QDs with Quantum Wire Tethers form Building Blocks



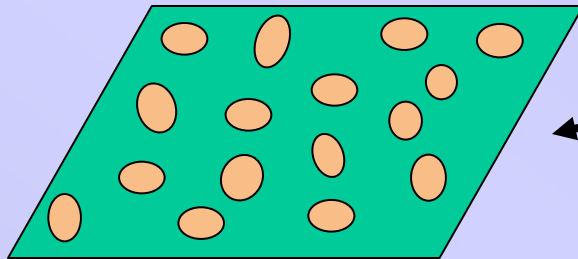
Tokyo, July 29, 2002 - Fujitsu

Laboratories Ltd. announced today that it has succeeded in developing breakthrough technologies for fabricating quantum dot arrays as a basic element of quantum computers

Quantum Computing



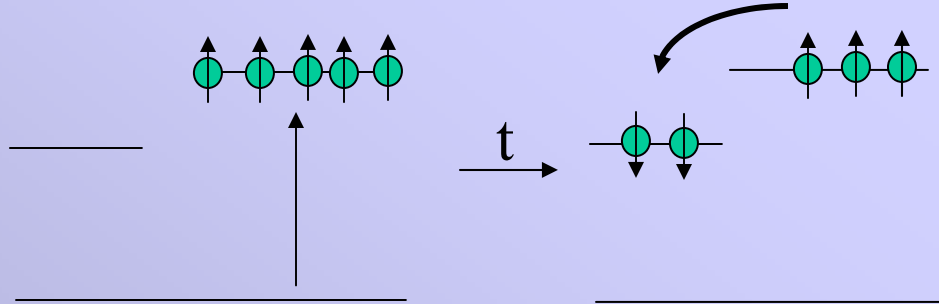
More Quantum Computing: Add Mg to CdSe & CdTe SAQDs



Quantum dots

Quantum Computer.

Single electron transistor



By analyzing Magneto-PL

Spin Relaxation Time
(Gyration Constant , diamagnetic shift)

Conclusion

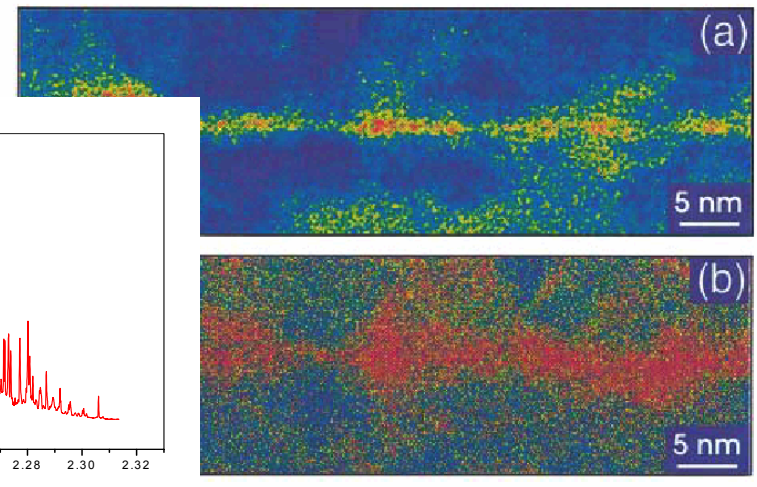
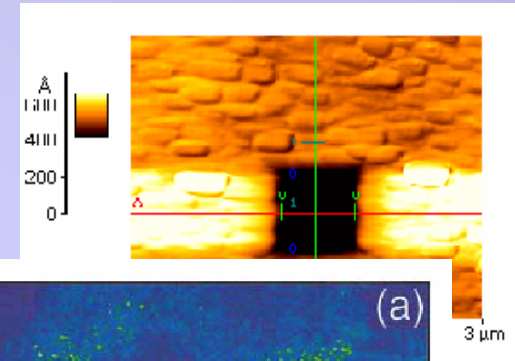
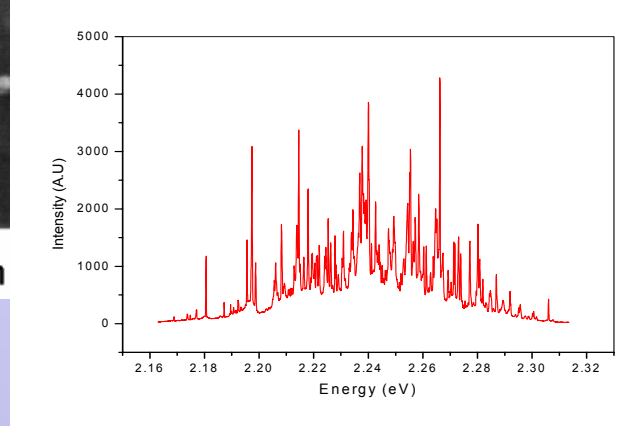
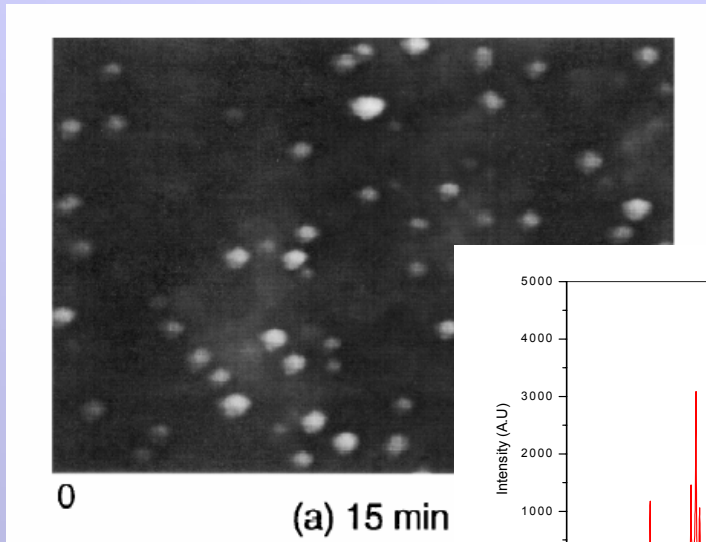
"The National Nanotechnology Initiative is a big step in a vitally important direction. It will send a clear signal to the youth of this country that the hard core of physical science (particularly physics and chemistry) and the nanofrontiers of engineering have a rich, rewarding future of great social relevance. The coming high tech of building practical things at the ultimate level of finesse, precise right down to the last atom, has the potential to transform our lives. Physics and chemistry are the principal disciplines that will make this all happen. But they are hard disciplines to master, and far too few have perceived the rewards at the end of the road sufficient to justify the effort. The proposed NNI will help immensely to inspire our youth."

Richard E. Smalley

Gene and Norman Hackerman Professor of Chemistry and Professor of Physics

Rice University Center for Nanoscale Science and Technology

Quantum Dots: A Physicist's (& Chemist's) Playground



**Jan Yarrison-Rice, Physics Dept.
Miami University**